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<p>(21) International Application Number: PCT/CA94/00340</p> <p>(22) International Filing Date: 21 June 1994 (21.06.94)</p> <p>(30) Priority Data: 081,028 21 June 1993 (21.06.93) US</p> <p>(71) Applicant: ANDRONIC DEVICES LTD. [CA/CA]; Suite 140, 13120 Vanier Place, Richmond, British Columbia V6V 2J2 (CA).</p> <p>(72) Inventors: DANCE, Mark, N.; 1461 Walnut Street, Vancouver, British Columbia V6J 3R2 (CA). SALVESTRO, Aldo, T.; 2964 East 25th Avenue, Vancouver, British Columbia V5R 1J2 (CA). AUCHINLECK, Geoffrey, F.; 1182 West 7th Avenue #5, Vancouver, British Columbia V6H 1B4 (CA).</p> <p>(74) Agents: McGRAW, James et al.; Smart & Biggar, 900-55 Metcalfe Street, P.O. Box 2999, Station D, Ottawa, Ontario K1P 5Y6 (CA).</p>		<p>(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>	
<p>(54) Title: METHOD AND APPARATUS FOR LOCATING FUNCTIONAL STRUCTURES OF THE LOWER LEG DURING KNEE SURGERY</p> <p>(57) Abstract</p> <p>Method and apparatus for locating functional structures of the lower leg during knee implant surgery by determining the location of the weight bearing axis or WBA, determining the preferred location of the WBA, determining the preferred location of the knee implant, and guiding the instruments used in the shaping of bone required to locate the implant.</p> <img alt="A technical diagram of a knee surgery apparatus. At the top, three shield-shaped sensors 38 are connected to a central unit 54. Below this, a complex assembly of bones 10, 12, 14, 16, 28, 40, 42, 44, 46, 50, 52, 54, 56, 58, 60, 62, 64, 66, 68, 70, 72, 74, 76, 78, 80, 82, 84, 86, 88, 90, 92, 94, 96, 98, 100, 102, 104, 106, 108, 110, 112, 114, 116, 118, 120, 122, 124, 126, 128, 130, 132, 134, 136, 138, 140, 142, 144, 146, 148, 150, 152, 154, 156, 158, 160, 162, 164, 166, 168, 170, 172, 174, 176, 178, 180, 182, 184, 186, 188, 190, 192, 194, 196, 198, 200, 202, 204, 206, 208, 210, 212, 214, 216, 218, 220, 222, 224, 226, 228, 230, 232, 234, 236, 238, 240, 242, 244, 246, 248, 250, 252, 254, 256, 258, 260, 262, 264, 266, 268, 270, 272, 274, 276, 278, 280, 282, 284, 286, 288, 290, 292, 294, 296, 298, 300, 302, 304, 306, 308, 310, 312, 314, 316, 318, 320, 322, 324, 326, 328, 330, 332, 334, 336, 338, 340, 342, 344, 346, 348, 350, 352, 354, 356, 358, 360, 362, 364, 366, 368, 370, 372, 374, 376, 378, 380, 382, 384, 386, 388, 390, 392, 394, 396, 398, 400, 402, 404, 406, 408, 410, 412, 414, 416, 418, 420, 422, 424, 426, 428, 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METHOD AND APPARATUS FOR LOCATING FUNCTIONAL STRUCTURES
OF THE LOWER LEG DURING KNEE SURGERY

Field of the Invention

The current invention relates to surgical methods and devices and specifically relates to an improved technique and apparatus for locating the alignment points of the leg during knee implant surgery.

Background of the Invention

During knee implant surgery, more specifically known as knee arthroplasty, damaged or diseased bone is replaced with metal or plastic components to restore the function of the effected joint. Improvements in materials used in these implants have resulted in widespread acceptance of this surgical procedure.

A primary goal of knee arthroplasty is the proper placement of the components with respect to the anatomy of the patient. This placement is necessary so that proper implant function is achieved and the life of the implant optimized. In a human, the weight of the body passes along a theoretical line, sometimes referred to as the weight bearing axis or WBA, from the center of the hip joint to the center of the ankle joint. In a correctly functioning knee, the WBA passes through the center of the knee in both anterior/posterior planes and medial/lateral planes. In a knee exhibiting varus deformity the WBA passes medial to the center of the knee while in a knee exhibiting valgus deformity it passes lateral to the center of the knee. Location of the WBA during the knee arthroplasty therefore relies on the location of the center of the hip joint (the center of the head of the femur), the center of the knee, and the center of the ankle.

In addition to locating the WBA, the position of the implants along the WBA and their rotation around the WBA must be established. A horizontal line passing through the articular surface of the knee, referred to as the joint line, can be used to position the implants along the WBA. To rotationally locate the implants in

the horizontal plane, several different anatomic landmarks are commonly used such as the location of the posterior or anterior femoral condyles or the location of the femoral epicondyles.

5 Most current techniques for locating the WBA can be grouped into one of two categories: extramedullary or EM alignment; and, intramedullary or IM alignment.

10 EM alignment requires that the surgeon visually align slender, parallel rods from the knee joint to the head of the femur and the center of the ankle. The position of the head of the femur may be approximated either by palpation or with intraoperative X-ray. Location of the ankle rod can be approximated either with a notched device intended to seat around the ankle 15 or with visual placement of the rod with respect to the palpated malleoli of the ankle. Once correctly positioned, the hip and ankle rods should lie parallel to the patient's WBA.

20 IM alignment replaces visually located rods with rods placed in the medullary canals of the femur and tibia. If properly placed, these rods should lie on the axis of the bones. Due to the offset of the femur at the hip, the bone axis is not the same as the WBA, therefore a correction must be made at operation to 25 adjust the IM axis to estimate the WBA. This correction requires a preoperative X-ray be taken showing the angular difference between the femoral axis and the WBA.

30 EM alignment provides only visual estimation of the location of the WBA. It is subject to many errors and requires considerable surgeon skill. Intraoperative location of the head of the femur is especially error prone. Palpation of the femoral head, complicated by patient obesity and sterile drapes placed over the patient, has been shown to be commonly inaccurate by 2-3 35 inches in comparison to radiographic location. Templates have been devised to assist radiographic location but the use of radiographs in the OR suite is time-consuming, awkward and exposes personnel to

radiation. Additionally, any form of radiographic location is subject to distortion and requires a visual estimate of the location of anatomic landmarks which are not necessarily the kinematic centers of movement or of
5 force transmittal.

IM alignment requires some skill in placing the rods. The placement of rods into the femur and tibia has been related to patient death from fat or gas embolism. The adjustment angle required to correct the
10 IM axis to the WBA is commonly measured from a preoperative X-ray and, as a result, is subject to distortion, reading errors and visual estimation problems as previously described.

What is required then, is a method for
15 accurately and simply locating the true location of a patient's WBA determined by the kinematic position of the patient's joints. The method should be applicable to intraoperative applications. Preferably the method developed would not involve additional modification of
20 the bone, other than that necessary for performing the procedure, could provide for preoperative planning and postoperative evaluation, and could be used to predict the effect of changes to the surgical protocol upon the results of the surgery.

25 Summary of the Invention

Provided by the current invention are method and apparatus for determining the location of the WBA, for determining the preferred location of the WBA, for determining the preferred location of the knee implant,
30 and for guiding the instruments used in the shaping of bone required to locate the implant.

Also provided are method and apparatus for locating the kinematic center of rotation of the hip joint involving the measurement in three dimensions of
35 at least four discrete positions of the femur.

Further provided by the current invention are method and apparatus for measuring the position of the center of the ankle with respect to the femur and for

estimating the instantaneous center of rotation for the knee with respect to the ankle. The method and apparatus provided are applicable to intraoperative application, do not involve additional modification of the patient's bone or tissue, other than that necessary for performing the procedure, provide for preoperative planning and postoperative evaluation, and can be used to predict the effect of changes to the surgical protocol upon the results of the surgery.

10 Brief Description of the Drawings

Figures 1a and 1b show the anatomic relationship of the weight bearing axis to a human femur and tibia.

Figures 2a and 2b show the kinematic motion of the femur around the hip joint.

15 Figures 3a and 3b show simplified representations of the kinematic motion of the tibia with respect to the femur.

Figure 4 shows apparatus for intraoperatively determining the weight bearing axis of the leg.

20 Figure 5a through 5c show a method for determining the weight bearing axis using the apparatus of Figure 4.

Description of the Embodiment

Figures 1a and 1b show in schematic form the relationship of the weight bearing axis (WBA) 18 to a left human femur 10 and tibia 12 in normal stance. Figure 1a is a schematic in the coronal (medial-lateral) plane of the patient and Figure 1b is in the sagittal (anterior-posterior) plane of the patient.

30 Weight bearing axis 18 is defined to pass through two points: the center of the hip joint 14 and the center of the ankle joint 16. Weight bearing axis 18 normally passes slightly medial to the anatomic center of the knee joint although this may vary considerably from patient to patient.

Hip joint center 14 is defined as the center of rotation of the hip joint and is generally accepted to be the anatomic center of the head of the femur. Ankle

joint center 16 is defined as the center of rotation of the ankle joint and is generally accepted to lie midway along an axis passing through the malleoli of the lower limb. 5 Medial malleolus 20 exists on the distal end of the tibia. The lateral malleolus is a similar structure on the distal end of the fibula (not shown).

Joint line 22 is a plan perpendicular to weight bearing axis 18 at a point approximating the bearing surface between femur 10 and tibia 12.

10 Figures 2a and 2b show in schematic form the motion of femur 10 about hip joint center 14 in the patient's coronal and sagital planes respectively.

15 The motion of femur 10 is governed by the ball and socket hip joint such that, during any movement of femur 10, femoral registration point 24 fixed with respect to femur 10 will be constrained to move on the surface of theoretical sphere with center at hip joint center 14 and radius equal to the distance between femoral registration point 24 and hip joint center 14.

20 By measuring the vectorial displacement between three successive positions of femoral registration point 24 in a reference frame in which hip joint center 14 remains stationary as femur 10 is moved, the position of hip joint center 14 in that reference frame can be 25 calculated. Additionally, the location of hip joint center 14 with respect to femoral registration point 24 can also e calculated. Increasing the number of measured positions of femoral registration point 24 increases the accuracy of the calculated position of hip 30 joint center 14.

Figures 3a and 3b show in schematic form a simplified representation of the motion of tibia 12 with respect to femur 10 in the patient's coronal and sagital planes respectively.

35 The motion of tibia 12 with respect to femur 10 is a complex, six degree-of-freedom relationship governed by the ligamentous tension and the three bearing surfaces of the knee joint. However for the

purposes of implant location, a reasonable approximation of the motion of tibia 12 can be made assuming the knee joint to be a sliding hinge in the sagittal plane with limited motion in the coronal plane. Based on these 5 simplifying assumptions, movement of tibial registration point 26 fixed with respect to tibia 12 will be constrained to move on the surface of a theoretical sphere with instantaneous center within the locus of knee joint center 28 and radius equal to the distance 10 between tibial registration point 26 and knee joint center 28.

Because the bony nature of the human ankle permits intraoperative estimation of ankle joint center 16 by palpation, tibial registration point 26 can be 15 fixed to tibia 12 at a known vectorial displacement from ankle joint center 16 through the use of a notched guide or boot strapped to the lower limb as is commonly known in knee arthroplasty. Measurement of the vectorial displacement of tibial registration point 26 with 20 respect to femoral registration point 24, previously fixed relative to femur 10 and at a calculated position relative to hip joint center 14, thereby permits the calculation of the vectorial position of ankle joint center 16 with respect to hip joint center 14 and the 25 weight bearing axis to be determined. As with calculation of the position hip joint center 14, repeated measurements improve the accuracy of the determined weight bearing axis.

Further, by measuring the vectorial displacement 30 between successive positions of tibial registration point 26 in a reference frame in which femoral registration point 24 remains stationary as tibia 12 is moved, the locus of positions of knee joint center 28 in that reference frame can be calculated.

35 Figure 4 shows apparatus useful for intraoperatively locating the joint centers and weight bearing axis of the human leg in a form useful for knee arthroplasty. Femur 10 and tibia 12 are shown in the

patient's sagital plane, flexed to expose the surface of the knee joint for resurfacing. Although the leg has been shown in schematic, skeletal form for clarity, the apparatus is such that the soft tissues surrounding the 5 leg and the protocols of the conventional operation are not negatively impacted by the use of the apparatus.

Registration clamp 30 can be attached to the femur 10 so as to maintain a constant position with respect to femur 10. In the preferred embodiment 10 fixation pins 44 placed in the medial and lateral surfaces of the distal femoral cortex assure rigid attachment of registration clamp 30 to femur 10 permitting the weight of the lower leg to be fully supported through registration clamp 30.

15 Alignment guide 32 permits registration clamp 30 to be attached to femur 10 at a determinant distance from the distal bearing surface of femur 10 and in known rotation about the anatomic axis of femur 10. Alignment guide 30 has a flat surface 46 which can be placed 20 against the distal surface of femur 10 to set the displacement of registration clamp 30. Alignment guide 30 also has rotational alignment feature 48, which in the preferred embodiment is a thin shelf suitable for placement between femur 10 and tibia 12 while the knee 25 is flexed and shaped to lie against the posterior medial and lateral bearing surfaces of femur 10.

Alternatively, rotational alignment feature 48 may be shaped so as to use other common landmarks of the distal femur to set rotation. Alignment guide 50 also includes 30 clamp location feature 50 which can be used to position registration clamp 30 prior to fixation to femur 10. Clamp location feature 50 may comprise slide rods or a tongue fitting into registration clamp 30 as are commonly used in knee arthroplasty instruments to locate 35 cutting or alignment guides to the bones.

Support arm 34 is connected to registration clamp 30 and is used to rigidly position femur 10. In the preferred embodiment support arm 34 is a

pneumatically lockable, flexible arm, such as is used in the Endex Endoscopy Positioning System (Andronic Devices Ltd., Richmond, B.C., Canada) which has sufficient strength to fully support the weight of the patient's
5 lower limb above the table and has sufficient range of motion to permit the patient's leg to move through full flexion to full extension while attached to registration clamp 30. Support arm 34 is attached to the side of the operating table so as to remain stationary with respect
10 to hip joint center 14.

Location marker 36 includes two or more light emitting features 52 visible to camera array 38. Camera array 38, object digitizing and display equipment 54 are used to measure the position and orientation of location
15 marker 36 with respect to the reference frame in which camera array 38 is mounted. In the preferred embodiment camera array 38, object digitizing and display equipment 54, and location marker 36 comprise a system such as the "FlashPoint 3D Digitizer" (Pixsys, Boulder, CO) which
20 has the accuracy to resolve displacements of location marker 36 to within 0.1mm within an operating volume similar to that of knee arthroplasty procedures.
Location marker 36 is attachable to registration clamp 30 so as to remain fixed with respect to femur 10.

Ankle guide 40 is shaped to fit around the tissue of the patient's lower leg so as to remain fixed with respect to tibia 12 as it is moved. Ankle guide 40 preferably includes a V-notch feature, such as described by Petersen (US#4,524,766) to intraoperatively locate
25 ankle guide 40 at a known displacement from ankle joint center 16. Ankle guide 40 further includes second location marker 42 identical in form and function to location marker 36 previously described. In the preferred embodiment both location marker 36 and second
30 location marker 42 are employed although a single, repositioned marker could suffice.

Figures 5a through 5c show the steps used to intraoperatively locate the patient's weight bearing axis using the apparatus of the current invention.

In Figure 5a alignment guide 50 is placed over 5 the distal end of femur 10 and used to set the position and rotation of registration clamp 30 with respect to femur 12. Fixation pins 44 are driven through registration clamp 30 for fixation to femur 10. Support arm 34, which is stationary with respect to hip joint center 14, may be connected to registration clamp 30 before or after it is affixed to femur 10. Tibia 12 is 10 left to hang from femur 10 by the attaching ligaments.

In Figure 5b, location marker 36 is attached to registration clamp 30. With support arm 34 unlocked, 15 femur 10 is moved while the position of attached location marker 36 is measured and digitized by camera array 38 and object digitizing and display equipment 54. Following a minimum of three different measurements, object digitizing and display equipment 54 calculates 20 the position of joint hip center 14 using the constraints that each measured point lies on the surface of a sphere centered at joint hip center 14 and that hip joint center 14 lies proximal to the attachment point of registration clamp 30. Repeated movements of femur 10 and locational measurements of location marker 36 are 25 used to refine the accuracy of the calculated position of hip joint center 14 to within a desired range.

Figure 5c shows how the apparatus of the current invention is used to locate ankle joint center 16 with 30 respect registration clamp 30 thereby determining the weight bearing axis of the patient. While support arm 34 holds femur 10 fixed with respect to hip joint center 14 and camera array 38, ankle guide 40 and attached second location marker 42 are applied to tibia 12 at a 35 known position relative to ankle joint center 16. At this point the position of second location marker 42 is measured and digitized by camera array 38 and object digitizing and display equipment 54 permitting ankle

joint center 16 to be located with respect hip joint center 14 locating the patient's weight bearing axis with respect to registration clamp 30 and the distal landmarks on femur 10 used to position registration 5 clamp 30. This information can then be displayed by object digitizing and display equipment 54 and used by the surgeon to guide resurfacing cuts on femur 10 and tibia 12.

Advantageously, support arm 34 provides 10 sufficiently rigid positioning of femur 10 and registration clamp 30 with respect to hip joint center 14 that calculation of ankle joint center 16 with respect to hip joint center 14 is significantly simplified without the need to measure and locate 15 registration clamp 30 at the same instant.

Further, tibia 12 can be repeatedly moved while the position of second location marker 42 is measured and digitized by camera array 38 and object digitizing and display equipment 54 permitting the locus of 20 instantaneous knee center 28 to be located with respect to ankle joint center 16, hip joint center 14 and registration clamp 30. This information can be used to refine the kinematic position of the knee joint with respect to registration clamp 30 beyond the initial bony 25 landmark location provided by alignment guide 32.

Information processing equipment 56 is included in the apparatus of this invention for receiving the digitized positions captured, calculated and displayed by object digitizing and display equipment 54, and for further processing this information into a suitable form 30 that it may be used to direct robotic bone cutting equipment, such as described by Matsen et al. (U.S. #4,979,949), in the performance of optimal bone cuts with respect to the patient's joint centers and weight 35 bearing axis.

Many adaptations and alterations may be made to the embodiment described herein. Accordingly, the invention is to be limited only by reference to the

appended claims. For example, although an optical system has been used to measure the position of reference points attached to the femur and tibia, these measurements could alternatively be performed with 5 ultrasonic or magnetic emitters and receivers. Further direct measurement of the bone positions could be accomplished using precision resistive devices, such as linear variable displacement transducers (LVDTs) with one end attached to the bones and the opposite end 10 placed in a known reference frame.

Additionally, gyroscopic equipment for precisely measuring angular changes, such as the GyroEngine (Gyration Inc., Saratoga, CA) could be applied to the bones to provide digitized signals representative of the 15 angular positional changes measured in the bones. As the gyroscopes provide only angular measurement, further information is necessary to locate joint centers and this can be provided by moving the bones through a path of known distances while the angular changes are 20 recorded, thus providing scaling information.

Advantageously, the support arm described can be used to limit the path of the bones to a known length while they are moved providing this scaling in a manner easily accomplished in the operating room.

CLAIM

1. A method of intra-operatively locating the functional center of the hip for knee joint arthroplasty comprising the steps of:

- 5 - providing measurement apparatus for measuring changes in location of a point in space relative to a reference frame;
- 10 - attaching the measurement apparatus to a point on the femur of a patient;
- 15 - holding the acetabulum of the patient relatively fixed so that displacement of the head of the femur in the reference frame is resisted;
- 20 - repeating a minimum of three times the steps of:
 - rotating the femur within the reference frame to a discrete location; and,
 - measuring the change in position within the reference frame of the point on the femur occurring to reach the discrete location;
 - using the measured position changes to locate the center of a theoretical sphere the surface of which includes all of the discrete locations.
- 25

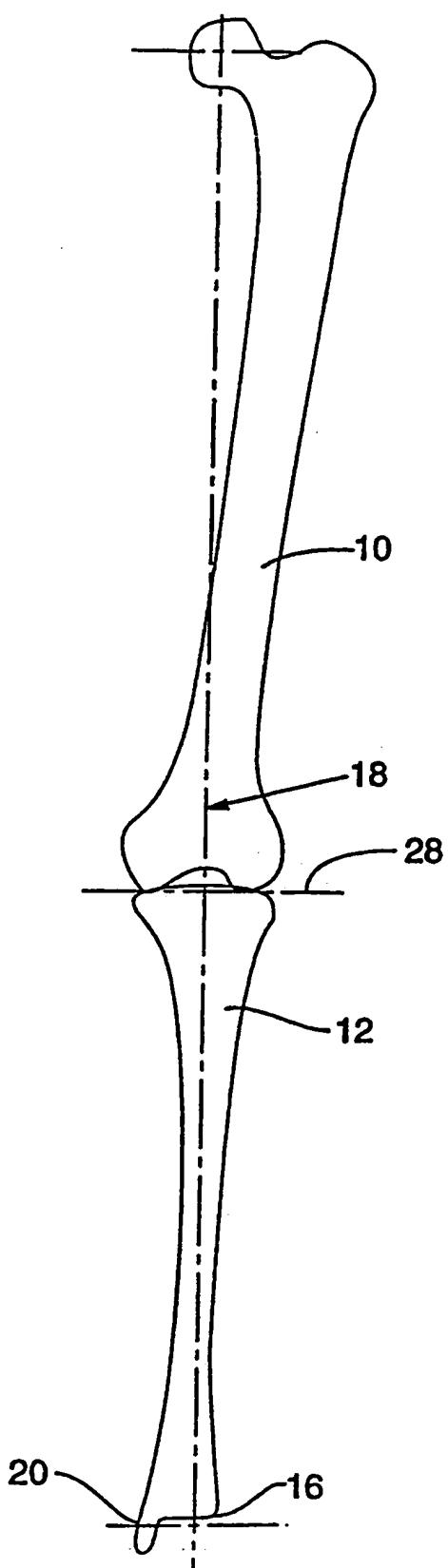


FIG. 1A

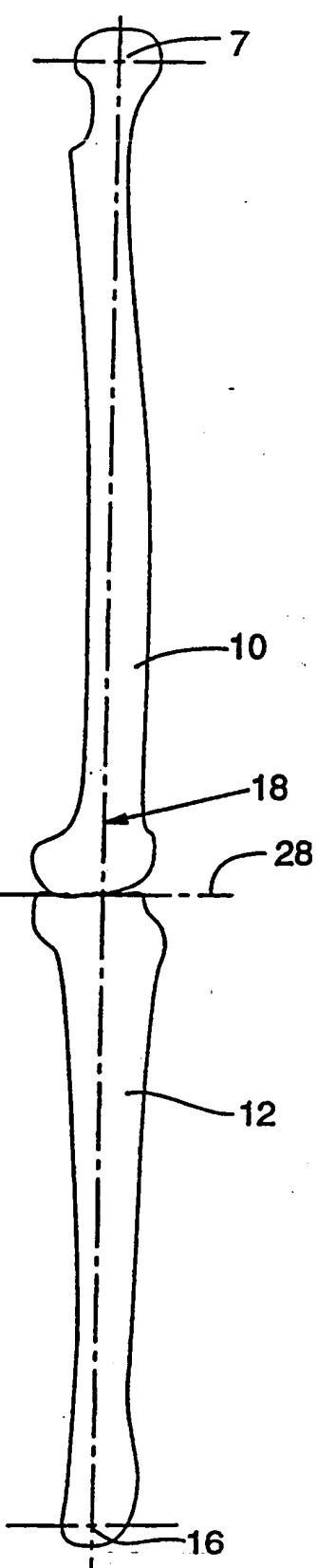


FIG. 1B

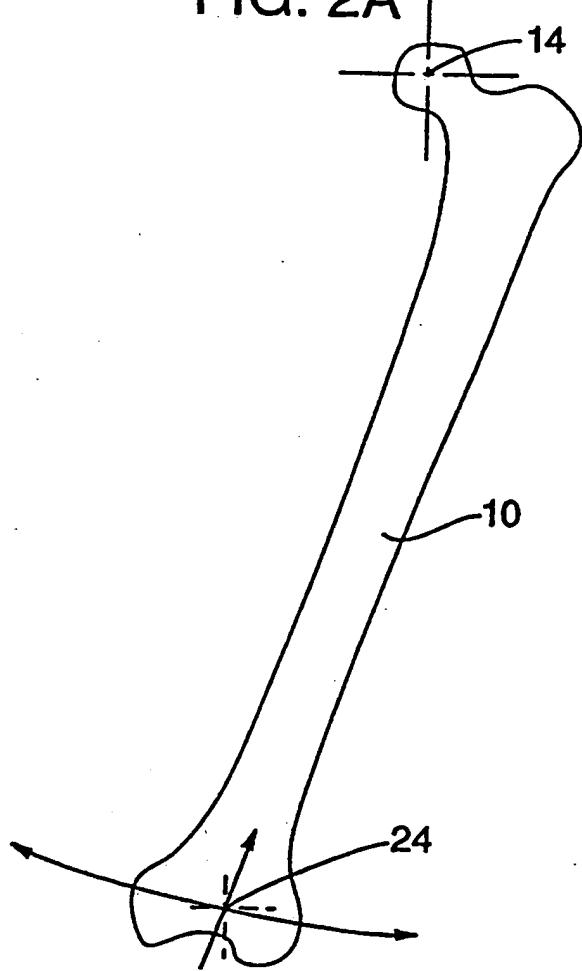
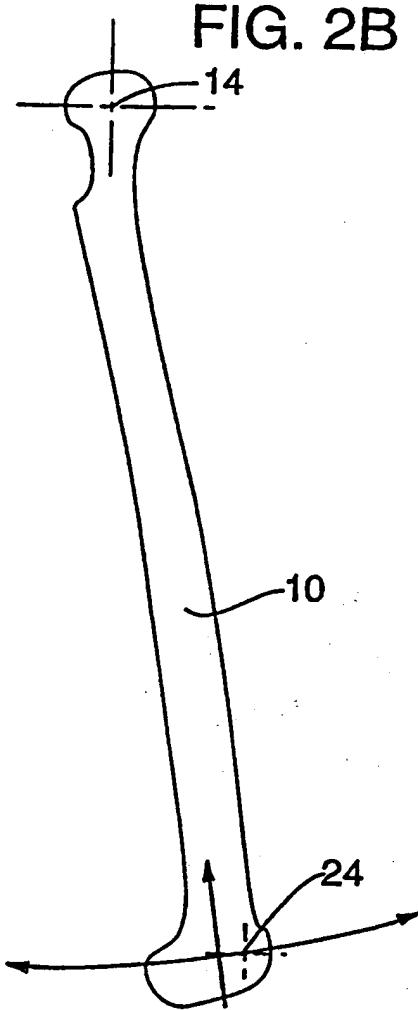
FIG. 2A**FIG. 2B****SUBSTITUTE SHEET**

FIG. 3A

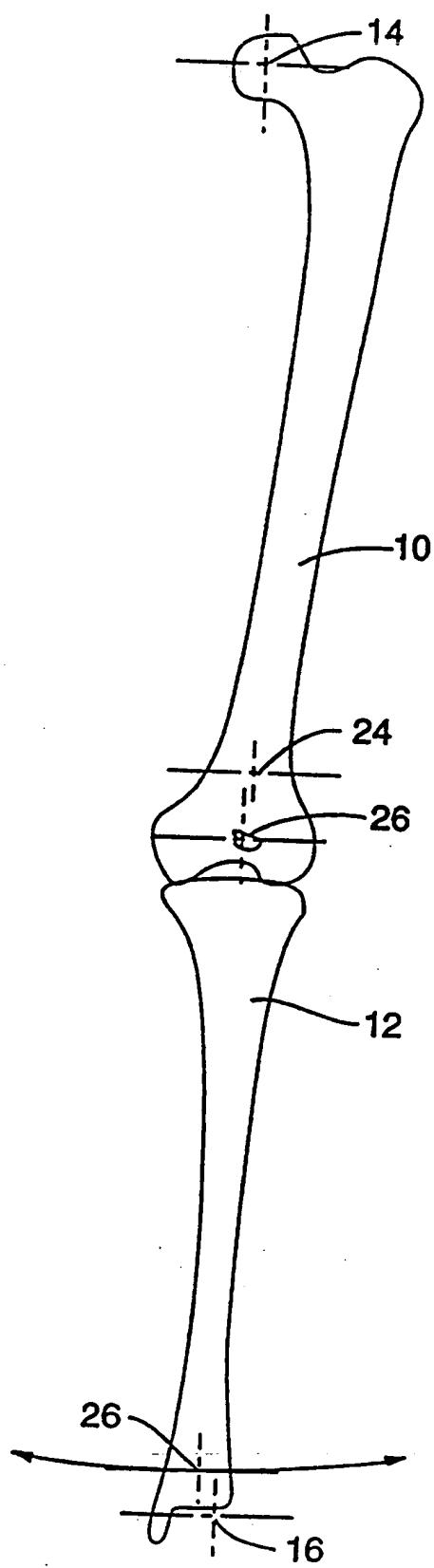
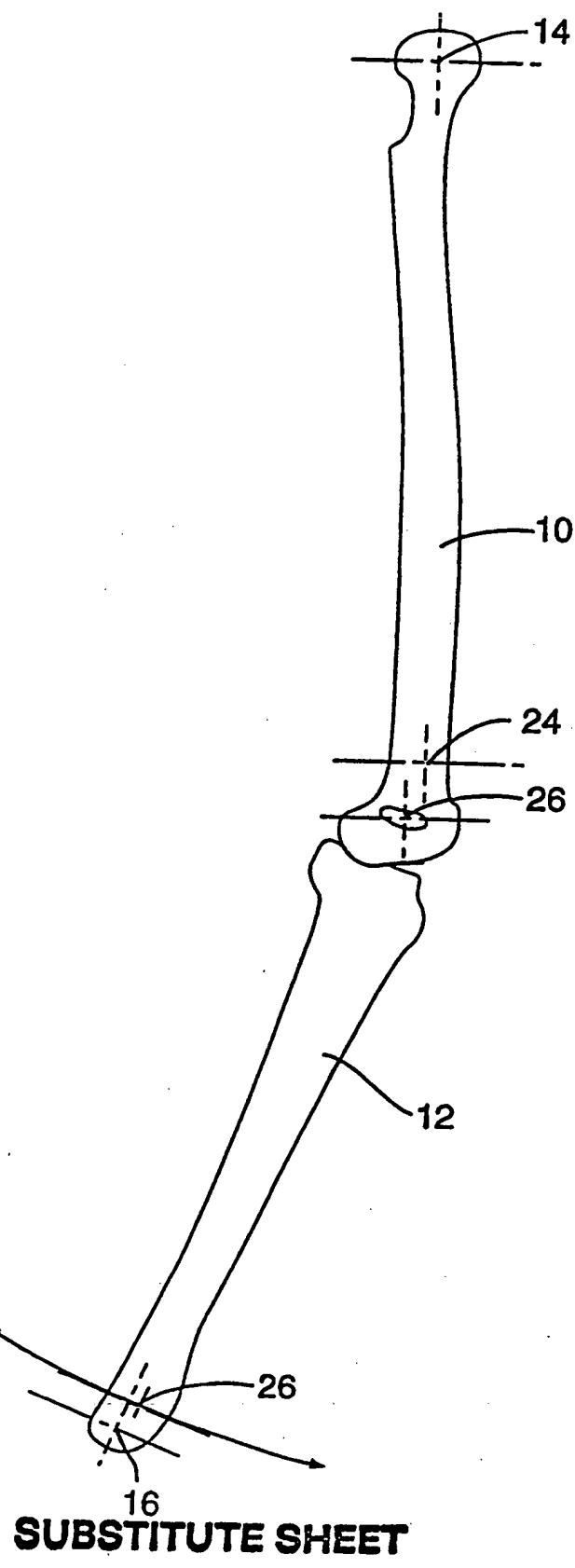
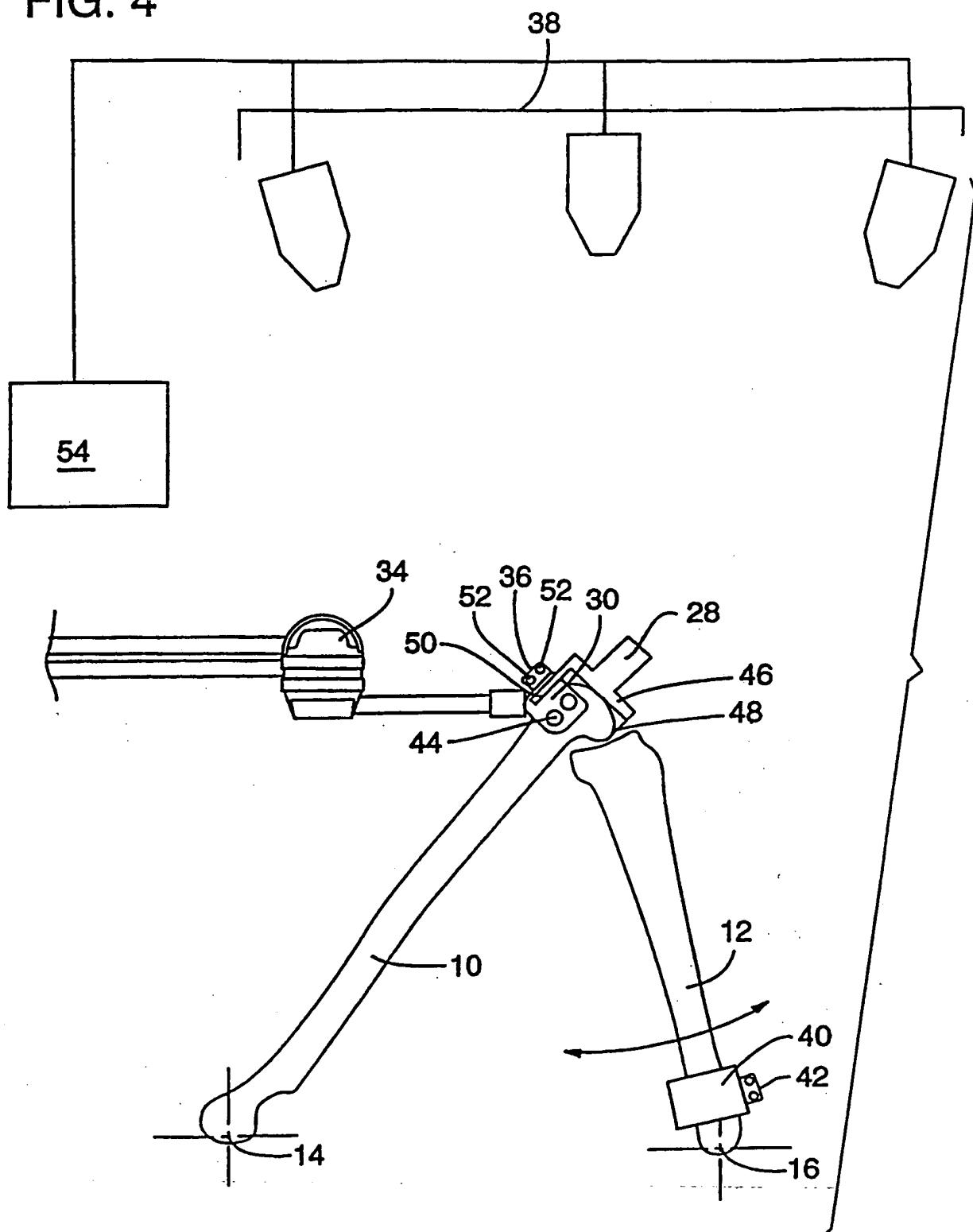


FIG. 3B



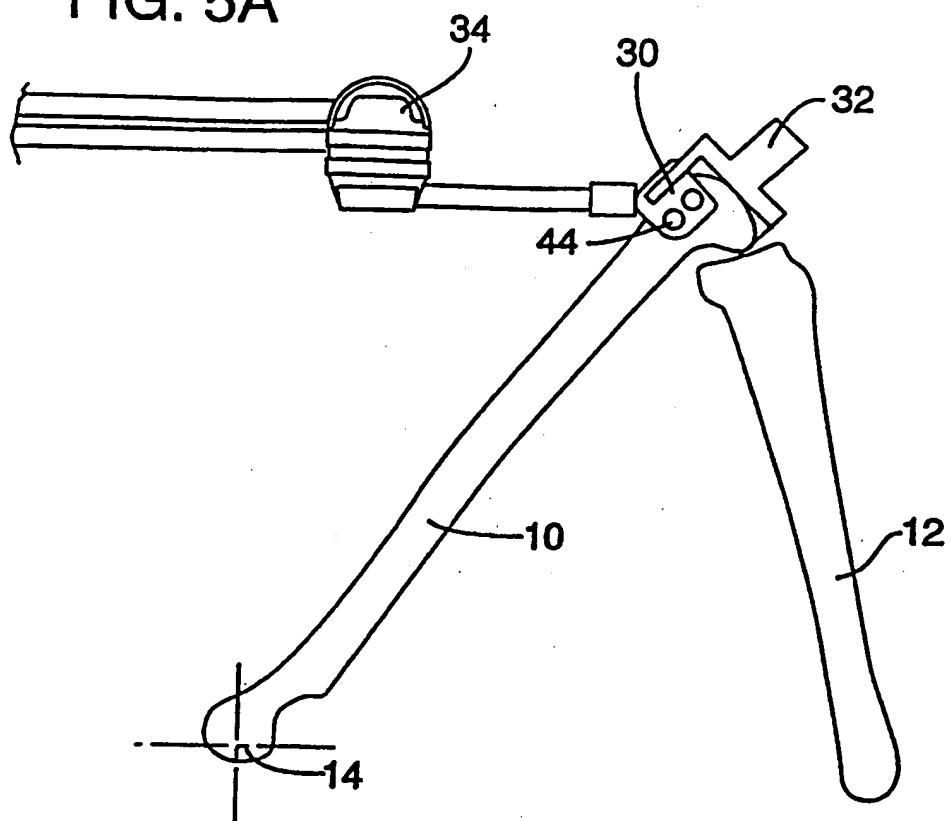
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FIG. 4



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FIG. 5A



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FIG. 5B

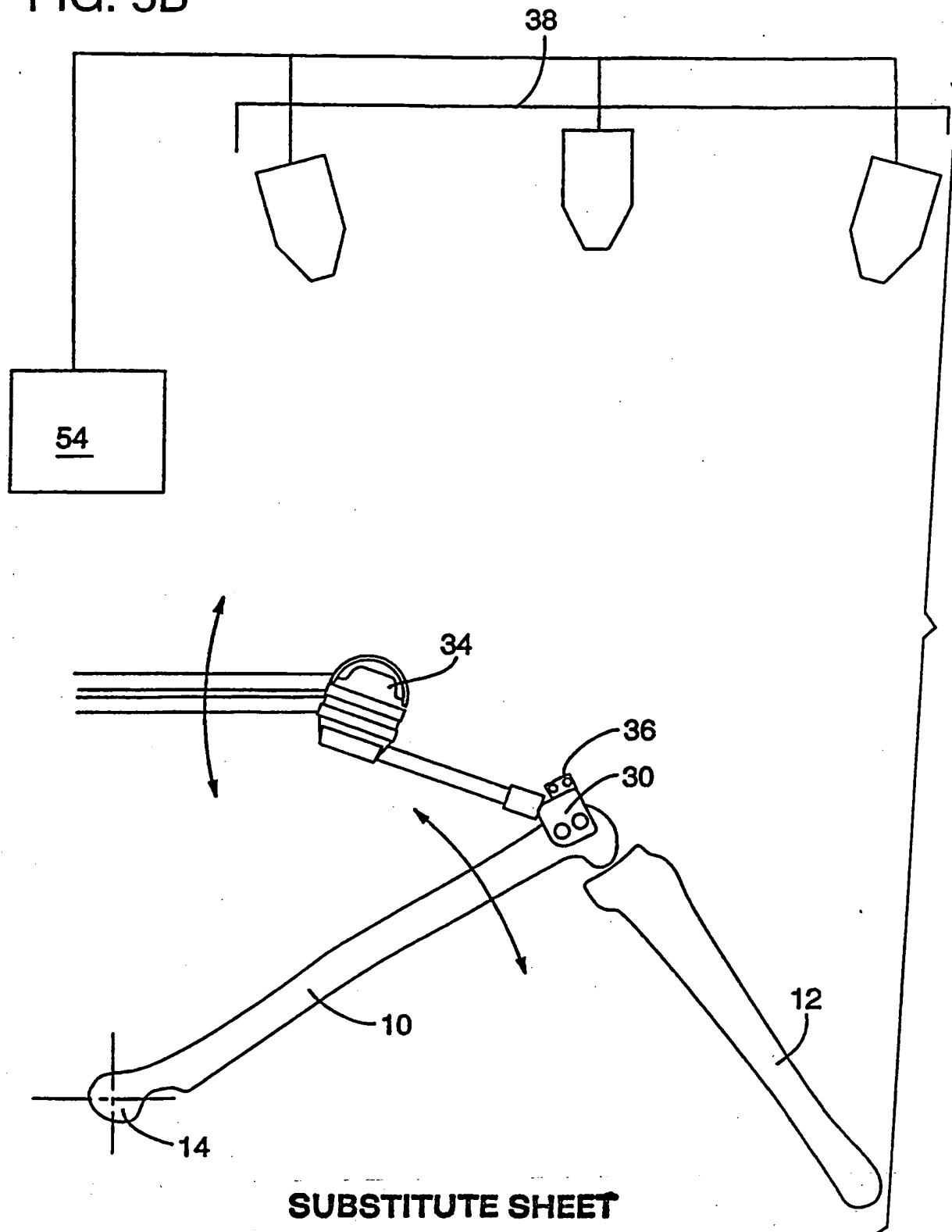
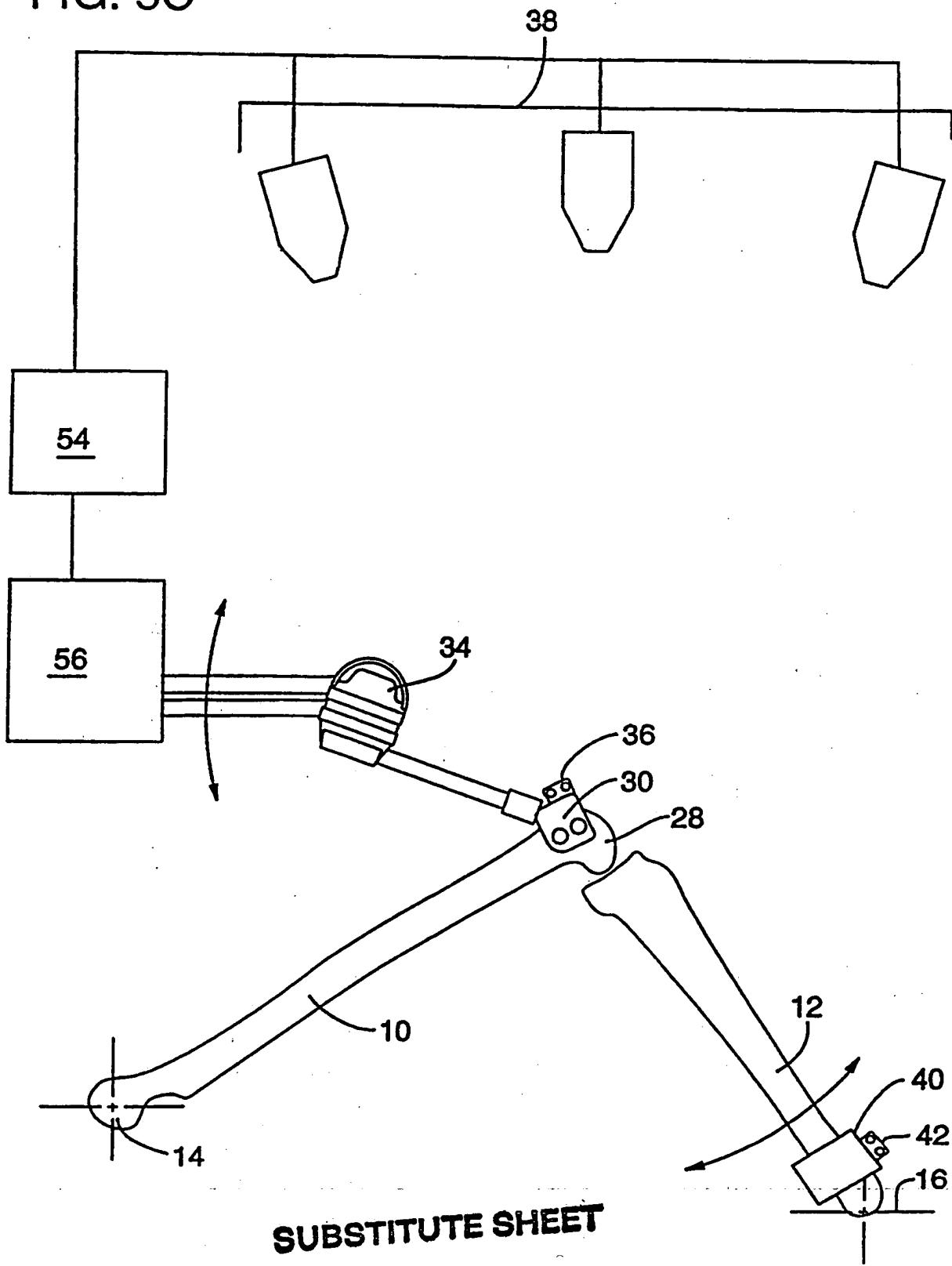
**SUBSTITUTE SHEET**

FIG. 5C



SUBSTITUTE SHEET

A. CLASSIFICATION OF SUBJECT MATTER
IPC 5 A61B17/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 5 A61B A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US,A,4 979 949 (MATSEN, III ET AL.) 25 December 1990 cited in the application see abstract ----	1
A	US,A,4 524 766 (PETERSEN) 25 June 1985 cited in the application see abstract ----	1
A	WO,A,88 07840 (CEMAX) 20 October 1988 -----	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

5 October 1994

Date of mailing of the international search report

22.11.94

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Authorized officer

Sánchez y Sánchez, J

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US-A-4979949	25-12-90	US-A-	5154717	13-10-92
		US-A-	5236432	17-08-93
US-A-4524766	25-06-85	NONE		
WO-A-8807840	20-10-88	US-A-	4841975	27-06-89